

February 19, 2019

Conflict Minerals Policy

Lutron Electronics Co., Inc (“Lutron”) is committed to sourcing components and materials from companies that share our values regarding respect for human rights, integrity and environmental responsibility. Lutron supports ending the violence and human rights violations that are associated with the mining of certain minerals in the Democratic Republic of Congo (“DRC”) and its surrounding countries (Angola, Burundi, Central African Republic, the Republic of the Congo, Rwanda, South Sudan, Tanzania, Uganda, and Zambia).

Lutron is not a U.S.-publicly traded company subject to SEC reporting. However, Lutron understands that select customers have obligations to comply with the applicable sections of the OECD Due Diligence Guidance for Responsible Supply Chains of Minerals from Conflict-Affected and High-Risk Areas, as well as the requirements of Section 1502 of the Dodd-Frank Wall Street Reform and Consumer Protection Act. This aims to prevent the use of minerals that directly or indirectly finance or benefit armed/militant groups in the DRC or adjoining countries known to subject workers and indigenous people to human rights and labor abuses.

Lutron does not directly source minerals from the DRC or its surrounding countries. Mining of materials is far removed from the sourcing of materials and components to Lutron. Like all electronics manufacturers, Lutron purchases electrical components that likely have limited quantities of tin, gold, tungsten and/or tantalum.

Our policy is to source conflict mineral free materials and components from suppliers that participate in third-party verification programs and/or have adopted the Responsible Business Alliance’s (RBA) (f/k/a Electronic Industry Citizenship Coalition) [Code of Conduct](#). We rely on suppliers to determine the source of any tin, gold, tungsten, and tantalum in the electrical components supplied to Lutron. Our suppliers have reported that reducing trade in conflict minerals is an ongoing industry challenge due to the complexity of a global economy and how these materials are mined, traded, and tracked.

Similar to many electronics manufacturers, we continue to request input from our suppliers in order to respond to customer requests regarding conflict minerals. Each affected supplier to Lutron is required to provide a completed Conflict Minerals Reporting Template (CMRT) that documents all of the facilities that refine and smelt the tin, tantalum, tungsten, and gold supplied to Lutron.

Lutron provides support to our customers by providing the Responsible Minerals Initiative (RMI)’s (f/k/a Conflict Free Sourcing Initiative (CFSI)) CMRT that lists smelters utilized by our reporting suppliers.

Customers may request the current Lutron CMRT, as well as find more information on Lutron’s Conflict Minerals program, by contacting: CustSvc@lutron.com.